

04-23-01  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of:

HOVORKA et al.

Serial No.: 09/602,498

Filed: 6/23/00

For: ARCHITECTURE FOR AN ANONYMOUS TRADING SYSTEM

Our Ref.: P/3879-9

Date: April 20, 2001

Group Art Unit: --

Examiner: --

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Assistant Commissioner of Patents  
Washington, D.C. 20231

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<input type="checkbox"/>	The Japanese Publication(s) listed on the attached art listing form was/were cited in a Japanese Office Action issued in a related application. A copy of the Japanese Office Action and an English-language translation of the relevant portions are also attached.
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<input checked="" type="checkbox"/>	I hereby certify that each item of information contained in this Submission was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Submission (37 C.F.R. §1.97(e)).
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EXPRESS MAIL CERTIFICATE

Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee (mail label #EL613108886US) in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231-9999, on April 20, 2001:

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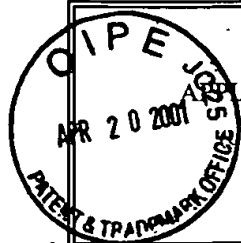
Signature

April 20, 2001

Date of Signature

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## APPLICANT'S ART CITATION

(Use several sheets if necessary)

Application 09/602,498

OFGS File No. P/3879-9

Applicant HOWORKA, E.

Filing Date 6/23/00

Group Art Unit --

## U.S. PATENT DOCUMENTS

Examiner Initials	Document Number							Date	Name	Class	Sub- class	Filing Date If Appropriate

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## FOREIGN PATENT DOCUMENTS

	Document Number							Date	Country	Class	Sub- class	Translation	
												Yes	No
	0	4	1	1	7	4	8	2/1991	EUROPE			n/a	
	0	4	0	7	0	2	6	1/1991	EUROPE			n/a	
	93/	1	5	4	6	7		8/1993	WO/PCT/INTL.			n/a	
	0	3	9	9	8	5	0	11/1990	EUROPE			n/a	
												n/a	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		U.K. Search Report issued February 9, 2001 (in English).
		"Pathalias," Honeyman P. & Bellovin SM, Proc. USENIX Summer Conference, June, 1986, pp. 126-141.
		"A Cluster-based Approach for Routing in Ad-Hoc networks," Krishna et al., 11 May 1995, at <a href="http://www.usenix.org/publications/library/proceedings/mob95/full_papers/krishna.txt">www.usenix.org/publications/library/proceedings/mob95/full_papers/krishna.txt</a> .

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